

**GOVERNMENT OF NEW ZEALAND****ANNUAL REPORT ON EXPORTS AND IMPORTS OF CONVENTIONAL ARMS, IN ACCORDANCE WITH ARTICLE 13(3) OF THE ARMS TRADE TREATY****REPORT FOR THE CALENDAR YEAR 2016****National Point of Contact for this Report:**

<b>Name :</b>	<b>Disarmament Officer - ATT</b>
<b>Organisation :</b>	<b>Ministry of Foreign Affairs and Trade, International Security and Disarmament Division</b>
<b>Fixed Phone :</b>	<b>0+64 4 439 8000</b>
<b>Mobile Phone :</b>	<b>N/A</b>
<b>Fax :</b>	<b>+64 4 439 8519</b>
<b>E-mail :</b>	<b><a href="mailto:ISED@mfat.govt.nz">ISED@mfat.govt.nz</a> (Attn: Disarmament Officer - ATT)</b>

<b>Date of Submission :</b>	<b>31 May 2017</b>
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<b>Contents of report (check as appropriate)</b>		<b>Yes</b>	<b>No</b>
i)	Nil report on exports of conventional arms	<input type="checkbox"/>	<input checked="" type="checkbox"/>
ii)	Nil report on imports of conventional arms	<input type="checkbox"/>	<input checked="" type="checkbox"/>
iii)	Annual report on exports of conventional arms	<input checked="" type="checkbox"/>	<input type="checkbox"/>
iv)	Annual report on imports of conventional arms	<input checked="" type="checkbox"/>	<input type="checkbox"/>
v)	National definitions of categories of conventional arms reported	<input checked="" type="checkbox"/>	<input type="checkbox"/>

<b>Scope of report (voluntary information)</b>	<b>Yes</b>	<b>No</b>
In the submitted report, some commercially sensitive and/or national security-related data has been withheld in accordance with Article 13.3 of the Treaty	<input type="checkbox"/>	<input checked="" type="checkbox"/>

**EXPORTS OF CONVENTIONAL ARMS<sup>1</sup>**

- SHADED COLUMNS AND ROWS REPRESENT VOLUNTARY INFORMATION -

<b>Reporting country :</b>	NEW ZEALAND	<b>Calendar Year :</b> 2016	<b>Cutoff date<sup>2</sup> :</b> 31 December 2016
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<b>In this report, the following definition of the term exports was used<sup>3</sup> (check as appropriate) :</b>		
Physical transfer of items across a national border :	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
Transfer of title :	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
Transfer of control :	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
Other (please provide a brief description below) :	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>

<b>This Annual Report on exports is available only to States Parties</b>	No <input checked="" type="checkbox"/> This report may be made publicly available.
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Category of arms <sup>4</sup> [I-VIII]	Authorised or actual exports <sup>5</sup>		Extent of exports <sup>6</sup> (choose one or both)		Final importing State <sup>9</sup>	State of origin (if not exporter) <sup>10</sup>	Remarks <sup>11</sup>	
	Auth.	Act.	Number of items <sup>7</sup>	Value <sup>8</sup>			Description of Item	Comments on the transfer
1	2	3	4	5	6	7	8	9
<b>A. I-VII UN Registry Categories<sup>12</sup> (national definitions shall not cover less than the definitions provided in Annex 1<sup>13</sup>)</b>								
I.	Battle tanks		<input checked="" type="checkbox"/>	0				

Category of arms <sup>4</sup> [I-VIII]		Authorised or actual exports <sup>5</sup>		Extent of exports <sup>6</sup> (choose one or both)		Final importing State <sup>9</sup>	State of origin (if not exporter) <sup>10</sup>	Remarks <sup>11</sup>	
		Auth.	Act.	Number of items <sup>7</sup>	Value <sup>8</sup>			Description of Item	Comments on the transfer
1		2	3	4	5	6	7	8	9
II.	Armoured combat vehicles		<input checked="" type="checkbox"/>	0					
III.	Large-calibre artillery systems		<input checked="" type="checkbox"/>	0					
IV.	Combat Aircraft	a) manned	<input checked="" type="checkbox"/>	0					
		b) unmanned	<input checked="" type="checkbox"/>	0					
V.	Attack helicopters	a) manned	<input checked="" type="checkbox"/>	0					
		b) unmanned	<input checked="" type="checkbox"/>	0					
VI.	Warships		<input checked="" type="checkbox"/>	0					
VII.	Missiles & missile launchers	a) Missiles etc	<input checked="" type="checkbox"/>	41		Peru		Maverick Missiles	
		b) MANPADS	<input checked="" type="checkbox"/>	0					
<b>B. VIII. Small Arms and Light Weapons<sup>14, 15</sup></b>									
<b>Small Arms (aggregated)<sup>16</sup></b>		<input checked="" type="checkbox"/>		1365		<b>Details below</b>			
1.	Revolvers and self-loading pistols	<input checked="" type="checkbox"/>		423		<b>Details below</b>			
		<input checked="" type="checkbox"/>		143		Australia			
		<input checked="" type="checkbox"/>		5		Canada			
		<input checked="" type="checkbox"/>		35		China			
		<input checked="" type="checkbox"/>		3		China (Macau SAR)			
		<input checked="" type="checkbox"/>		6		Fiji			
		<input checked="" type="checkbox"/>		10		France			

Category of arms <sup>4</sup> [I-VIII]		Authorised or actual exports <sup>5</sup>		Extent of exports <sup>6</sup> (choose one or both)		Final importing State <sup>9</sup>	State of origin (if not exporter) <sup>10</sup>	Remarks <sup>11</sup>	
		Auth.	Act.	Number of items <sup>7</sup>	Value <sup>8</sup>			Description of Item	Comments on the transfer
1		2	3	4	5	6	7	8	9
		<input checked="" type="checkbox"/>		2		France (French Polynesia)			
		<input checked="" type="checkbox"/>		25		France (New Caledonia)			
		<input checked="" type="checkbox"/>		2		Georgia			
		<input checked="" type="checkbox"/>		3		Germany			
		<input checked="" type="checkbox"/>		125		Papua New Guinea			
		<input checked="" type="checkbox"/>		20		Switzerland			
		<input checked="" type="checkbox"/>		2		Thailand			
		<input checked="" type="checkbox"/>		8		United Kingdom			
		<input checked="" type="checkbox"/>		34		USA			
2.	Rifles and carbines	<input checked="" type="checkbox"/>		<b>925</b>		<b>Details below</b>			
		<input checked="" type="checkbox"/>		345		Australia			
		<input checked="" type="checkbox"/>		4		Austria			
		<input checked="" type="checkbox"/>		9		Canada			
		<input checked="" type="checkbox"/>		61		China			
		<input checked="" type="checkbox"/>		3		Cook Islands			
		<input checked="" type="checkbox"/>		2		Czech Republic			
		<input checked="" type="checkbox"/>		22		Fiji			
		<input checked="" type="checkbox"/>		3		France			

Category of arms <sup>4</sup> [I-VIII]		Authorised or actual exports <sup>5</sup>		Extent of exports <sup>6</sup> (choose one or both)		Final importing State <sup>9</sup>	State of origin (if not exporter) <sup>10</sup>	Remarks <sup>11</sup>	
		Auth.	Act.	Number of items <sup>7</sup>	Value <sup>8</sup>			Description of Item	Comments on the transfer
1		2	3	4	5	6	7	8	9
		<input checked="" type="checkbox"/>		80		France (New Caledonia)			
		<input checked="" type="checkbox"/>		2		France (French Polynesia)			
		<input checked="" type="checkbox"/>		9		Germany			
		<input checked="" type="checkbox"/>		51		Indonesia			
		<input checked="" type="checkbox"/>		40		Norway			
		<input checked="" type="checkbox"/>		100		Oman			
		<input checked="" type="checkbox"/>		42		Papua New Guinea			
		<input checked="" type="checkbox"/>		34		Samoa			
		<input checked="" type="checkbox"/>		30		Switzerland			
		<input checked="" type="checkbox"/>		10		South Africa			
		<input checked="" type="checkbox"/>		1		Sweden			
		<input checked="" type="checkbox"/>		6		Tonga			
		<input checked="" type="checkbox"/>		6		United Kingdom			
		<input checked="" type="checkbox"/>		65		USA			
3.	Sub-machine guns	<input checked="" type="checkbox"/>		4		Australia			
4.	Assault rifles	<input checked="" type="checkbox"/>		0					
5.	Light machine guns	<input checked="" type="checkbox"/>		12		Australia			
6.	Others	<input checked="" type="checkbox"/>		1		China		Uzi Machine Gun	

Category of arms <sup>4</sup> [I-VIII]		Authorised or actual exports <sup>5</sup>		Extent of exports <sup>6</sup> (choose one or both)		Final importing State <sup>9</sup>	State of origin (if not exporter) <sup>10</sup>	Remarks <sup>11</sup>	
		Auth.	Act.	Number of items <sup>7</sup>	Value <sup>8</sup>			Description of Item	Comments on the transfer
1		2	3	4	5	6	7	8	9
<b>Light Weapons (aggregated)<sup>17</sup></b>		<input checked="" type="checkbox"/>		3					
1.	Heavy machine guns	<input checked="" type="checkbox"/>		0					
2.	Hand-held under-barrel and mounted grenade launchers	<input checked="" type="checkbox"/>		3		<b>Details Below</b>			
		<input checked="" type="checkbox"/>		2		United States of America			
		<input checked="" type="checkbox"/>		1		Italy			
3.	Portable anti-tank guns	<input checked="" type="checkbox"/>		0					
4.	Recoilless rifles	<input checked="" type="checkbox"/>		0					
5.	Portable anti-tank missile launchers and rocket systems	<input checked="" type="checkbox"/>		0					
6.	Mortars of calibres less than 75 mm	<input checked="" type="checkbox"/>		0					
7.	Others	<input checked="" type="checkbox"/>		0					
<b>C. Voluntary National Categories<sup>18</sup></b> (please define in Annex 2)									
1.	Seasprite SH2G (NZ) Maritime ASW Helicopter		<input checked="" type="checkbox"/>	2		Peru		Ex-Royal New Zealand Navy multipurpose maritime helicopters	Exported with associated Maverick missiles as reported above (Section A.VII.a.)
2.	Shotguns	<input checked="" type="checkbox"/>		466		<b>Details Below</b>			
		<input checked="" type="checkbox"/>		69		Australia			
		<input checked="" type="checkbox"/>		3		Canada			

Category of arms <sup>4</sup> [I-VIII]	Authorised or actual exports <sup>5</sup>		Extent of exports <sup>6</sup> (choose one or both)		Final importing State <sup>9</sup>	State of origin (if not exporter) <sup>10</sup>	Remarks <sup>11</sup>	
	Auth.	Act.	Number of items <sup>7</sup>	Value <sup>8</sup>			Description of Item	Comments on the transfer
1	2	3	4	5	6	7	8	9
	<input checked="" type="checkbox"/>		48		China			
	<input checked="" type="checkbox"/>		2		Cook Islands			
	<input checked="" type="checkbox"/>		46		Fiji			
	<input checked="" type="checkbox"/>		8		France (French Polynesia)			
	<input checked="" type="checkbox"/>		50		France (New Caledonia)			
	<input checked="" type="checkbox"/>		11		Georgia			
	<input checked="" type="checkbox"/>		1		Ireland			
	<input checked="" type="checkbox"/>		7		Italy			
	<input checked="" type="checkbox"/>		11		Japan			
	<input checked="" type="checkbox"/>		6		Niue			
	<input checked="" type="checkbox"/>		100		Papua New Guinea			
	<input checked="" type="checkbox"/>		11		Samoa			
	<input checked="" type="checkbox"/>		17		Tonga			
	<input checked="" type="checkbox"/>		47		United Kingdom			
	<input checked="" type="checkbox"/>		29		USA			

**IMPORTS OF CONVENTIONAL ARMS<sup>1</sup>**

- SHADED COLUMNS AND ROWS REPRESENT VOLUNTARY INFORMATION -

<b>Reporting country :</b>	NEW ZEALAND	<b>Calendar Year :</b> 2016	<b>Cutoff date<sup>2</sup></b> 31 December 2016
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<b>In this report, the following definition of the term imports was used<sup>3</sup> (check as appropriate) :</b>		
Physical transfer of items across a national border :	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
Transfer of title :	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
Transfer of control :	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
Other (please provide a brief description below) :	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>

<b>This Annual Report on imports is available only to States Parties</b>	No <input checked="" type="checkbox"/> This report may be made publicly available.
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Category of arms <sup>4</sup> [I-VIII]	Authorised or actual imports <sup>5</sup>		Extent of imports <sup>6</sup> (choose one or both)		Exporting State <sup>9</sup>	State of origin (if not exporter) <sup>10</sup>	Remarks <sup>11</sup>	
	Auth.	Act.	Number of items <sup>7</sup>	Value <sup>8</sup>			Description of Item	Comments on the transfer
1	2	3	4	5	6	7	8	9
<b>A. I-VII UN Registry Categories<sup>12</sup> (national definitions shall not cover less than the definitions provided in Annex 1) <sup>13</sup></b>								
I.	Battle tanks		<input checked="" type="checkbox"/>	0				

Category of arms <sup>4</sup> [I-VIII]		Authorised or actual imports <sup>5</sup>		Extent of imports <sup>6</sup> (choose one or both)		Exporting State <sup>9</sup>	State of origin (if not exporter) <sup>10</sup>	Remarks <sup>11</sup>	
		Auth.	Act.	Number of items <sup>7</sup>	Value <sup>8</sup>			Description of Item	Comments on the transfer
1		2	3	4	5	6	7	8	9
II.	Armoured combat vehicles		<input checked="" type="checkbox"/>	0					
III.	Large-calibre artillery systems		<input checked="" type="checkbox"/>	0					
IV.	Combat aircraft	a) manned	<input checked="" type="checkbox"/>	0					
		b) unmanned	<input checked="" type="checkbox"/>	0					
V.	Attack helicopters	a) manned	<input checked="" type="checkbox"/>	0					
		b) unmanned	<input checked="" type="checkbox"/>	0					
VI.	Warships		<input checked="" type="checkbox"/>	0					
VII.	Missiles & missile launchers	a) Missiles etc	<input checked="" type="checkbox"/>	0					
		b) MANPADS	<input checked="" type="checkbox"/>	0					
<b>B. VIII. Small Arms and Light Weapons<sup>14, 15</sup></b>									
<b>Small Arms (aggregated)<sup>16</sup></b>		<input checked="" type="checkbox"/>		<b>33676</b>					
1.	Revolvers and self-loading pistols	<input checked="" type="checkbox"/>		<b>3438</b>		<b>Details Below</b>			
		<input checked="" type="checkbox"/>		42		Australia			
		<input checked="" type="checkbox"/>		392		Austria			
		<input checked="" type="checkbox"/>		7		Belgium			
		<input checked="" type="checkbox"/>		24		Brazil			
		<input checked="" type="checkbox"/>		15		Canada			
		<input checked="" type="checkbox"/>		255		China			

Category of arms <sup>4</sup> [I-VIII]		Authorised or actual imports <sup>5</sup>		Extent of imports <sup>6</sup> (choose one or both)		Exporting State <sup>9</sup>	State of origin (if not exporter) <sup>10</sup>	Remarks <sup>11</sup>	
		Auth.	Act.	Number of items <sup>7</sup>	Value <sup>8</sup>			Description of Item	Comments on the transfer
1		2	3	4	5	6	7	8	9
		<input checked="" type="checkbox"/>		30		Croatia			
		<input checked="" type="checkbox"/>		339		Czech Republic			
		<input checked="" type="checkbox"/>		2		France			
		<input checked="" type="checkbox"/>		1		France (French Polynesia)			
		<input checked="" type="checkbox"/>		19		France (New Caledonia)			
		<input checked="" type="checkbox"/>		330		Germany			
		<input checked="" type="checkbox"/>		20		Israel			
		<input checked="" type="checkbox"/>		404		Italy			
		<input checked="" type="checkbox"/>		108		South Africa			
		<input checked="" type="checkbox"/>		83		Sweden			
		<input checked="" type="checkbox"/>		72		Switzerland			
		<input checked="" type="checkbox"/>		17		Turkey			
		<input checked="" type="checkbox"/>		18		United Kingdom			
		<input checked="" type="checkbox"/>		1260		USA			
2.	Rifles and carbines	<input checked="" type="checkbox"/>		<b>28125</b>		<b>Details Below</b>			
		<input checked="" type="checkbox"/>		507		Australia			
		<input checked="" type="checkbox"/>		264		Austria			
		<input checked="" type="checkbox"/>		500		Brazil			

Category of arms <sup>4</sup> [I-VIII]	Authorised or actual imports <sup>5</sup>		Extent of imports <sup>6</sup> (choose one or both)		Exporting State <sup>9</sup>	State of origin (if not exporter) <sup>10</sup>	Remarks <sup>11</sup>	
	Auth.	Act.	Number of items <sup>7</sup>	Value <sup>8</sup>			Description of Item	Comments on the transfer
1	2	3	4	5	6	7	8	9
	<input checked="" type="checkbox"/>		372		Canada			
	<input checked="" type="checkbox"/>		1537		China			
	<input checked="" type="checkbox"/>		685		Czech Republic			
	<input checked="" type="checkbox"/>		1		Fiji			
	<input checked="" type="checkbox"/>		8947		Finland			
	<input checked="" type="checkbox"/>		3		France			
	<input checked="" type="checkbox"/>		14		France (New Caledonia)			
	<input checked="" type="checkbox"/>		279		Germany			
	<input checked="" type="checkbox"/>		469		Italy			
	<input checked="" type="checkbox"/>		1028		Japan			
	<input checked="" type="checkbox"/>		3		Kyrgyzstan			
	<input checked="" type="checkbox"/>		2		Mozambique			
	<input checked="" type="checkbox"/>		3		Namibia			
	<input checked="" type="checkbox"/>		96		Portugal			
	<input checked="" type="checkbox"/>		120		Russia			
	<input checked="" type="checkbox"/>		73		South Africa			
	<input checked="" type="checkbox"/>		174		Spain			
	<input checked="" type="checkbox"/>		64		Sweden			

Category of arms <sup>4</sup> [I-VIII]		Authorised or actual imports <sup>5</sup>		Extent of imports <sup>6</sup> (choose one or both)		Exporting State <sup>9</sup>	State of origin (if not exporter) <sup>10</sup>	Remarks <sup>11</sup>	
		Auth.	Act.	Number of items <sup>7</sup>	Value <sup>8</sup>			Description of Item	Comments on the transfer
1		2	3	4	5	6	7	8	9
		<input checked="" type="checkbox"/>		31		Switzerland			
		<input checked="" type="checkbox"/>		120		Turkey			
		<input checked="" type="checkbox"/>		190		United Arab Emirates			
		<input checked="" type="checkbox"/>		479		United Kingdom			
		<input checked="" type="checkbox"/>		12157		USA			
		<input checked="" type="checkbox"/>		5		Zimbabwe			
3.	Sub-machine guns	<input checked="" type="checkbox"/>		<b>628</b>		<b>Details Below</b>			
		<input checked="" type="checkbox"/>		85		Australia			
		<input checked="" type="checkbox"/>		252		Austria			
		<input checked="" type="checkbox"/>		1		Belgium			
		<input checked="" type="checkbox"/>		1		China			
		<input checked="" type="checkbox"/>		92		Czech Republic			
		<input checked="" type="checkbox"/>		3		Germany			
		<input checked="" type="checkbox"/>		9		Israel			
		<input checked="" type="checkbox"/>		8		Pakistan			
		<input checked="" type="checkbox"/>		21		Russia			
		<input checked="" type="checkbox"/>		9		South Africa			
		<input checked="" type="checkbox"/>		1		Sweden			

Category of arms <sup>4</sup> [I-VIII]		Authorised or actual imports <sup>5</sup>		Extent of imports <sup>6</sup> (choose one or both)		Exporting State <sup>9</sup>	State of origin (if not exporter) <sup>10</sup>	Remarks <sup>11</sup>	
		Auth.	Act.	Number of items <sup>7</sup>	Value <sup>8</sup>			Description of Item	Comments on the transfer
1		2	3	4	5	6	7	8	9
		<input checked="" type="checkbox"/>		44		Switzerland			
		<input checked="" type="checkbox"/>		102		United Kingdom			
4.	Assault rifles	<input checked="" type="checkbox"/>		<b>1254</b>		<b>Details Below</b>			
		<input checked="" type="checkbox"/>		5		Australia			
		<input checked="" type="checkbox"/>		20		Austria			
		<input checked="" type="checkbox"/>		6		Canada			
		<input checked="" type="checkbox"/>		24		China			
		<input checked="" type="checkbox"/>		13		Czech Republic			
		<input checked="" type="checkbox"/>		62		Germany			
		<input checked="" type="checkbox"/>		7		Israel			
		<input checked="" type="checkbox"/>		16		Russia			
		<input checked="" type="checkbox"/>		25		South Africa			
		<input checked="" type="checkbox"/>		1		Sweden			
		<input checked="" type="checkbox"/>		11		Switzerland			
		<input checked="" type="checkbox"/>		1		Turkey			
		<input checked="" type="checkbox"/>		19		United Kingdom			
		<input checked="" type="checkbox"/>		1039		USA			
		<input checked="" type="checkbox"/>		5		Vietnam			
5.	Light machine guns	<input checked="" type="checkbox"/>		<b>231</b>		<b>Details Below</b>			

Category of arms <sup>4</sup> [I-VIII]		Authorised or actual imports <sup>5</sup>		Extent of imports <sup>6</sup> (choose one or both)		Exporting State <sup>9</sup>	State of origin (if not exporter) <sup>10</sup>	Remarks <sup>11</sup>	
		Auth.	Act.	Number of items <sup>7</sup>	Value <sup>8</sup>			Description of Item	Comments on the transfer
1		2	3	4	5	6	7	8	9
		<input checked="" type="checkbox"/>		13		Australia			
		<input checked="" type="checkbox"/>		59		Austria			
		<input checked="" type="checkbox"/>		16		Bulgaria			
		<input checked="" type="checkbox"/>		11		China			
		<input checked="" type="checkbox"/>		27		Czech Republic			
		<input checked="" type="checkbox"/>		2		Denmark			
		<input checked="" type="checkbox"/>		1		Germany			
		<input checked="" type="checkbox"/>		28		Israel			
		<input checked="" type="checkbox"/>		2		Luxembourg			
		<input checked="" type="checkbox"/>		2		Pakistan			
		<input checked="" type="checkbox"/>		6		Russia			
		<input checked="" type="checkbox"/>		1		Serbia			
		<input checked="" type="checkbox"/>		1		South Africa			
		<input checked="" type="checkbox"/>		8		Switzerland			
		<input checked="" type="checkbox"/>		53		United Kingdom			
		<input checked="" type="checkbox"/>		1		USA			
<b>Light Weapons (aggregated)<sup>17</sup></b>		<input checked="" type="checkbox"/>		<b>32</b>					
1.	Heavy machine guns	<input checked="" type="checkbox"/>		<b>10</b>		<b>Details Below</b>			
		<input checked="" type="checkbox"/>		3		Austria			

Category of arms <sup>4</sup> [I-VIII]		Authorised or actual imports <sup>5</sup>		Extent of imports <sup>6</sup> (choose one or both)		Exporting State <sup>9</sup>	State of origin (if not exporter) <sup>10</sup>	Remarks <sup>11</sup>	
		Auth.	Act.	Number of items <sup>7</sup>	Value <sup>8</sup>			Description of Item	Comments on the transfer
1		2	3	4	5	6	7	8	9
		<input checked="" type="checkbox"/>		5		China			
		<input checked="" type="checkbox"/>		1		Russia			
		<input checked="" type="checkbox"/>		1		United Kingdom			
2.	Hand-held under-barrel and mounted grenade launchers	<input checked="" type="checkbox"/>		<b>20</b>		<b>Details Below</b>			
		<input checked="" type="checkbox"/>		4		Australia			
		<input checked="" type="checkbox"/>		1		Austria			
		<input checked="" type="checkbox"/>		4		Czech Republic			
		<input checked="" type="checkbox"/>		2		Germany			
		<input checked="" type="checkbox"/>		2		Israel			
		<input checked="" type="checkbox"/>		4		Poland			
		<input checked="" type="checkbox"/>		2		United Kingdom			
		<input checked="" type="checkbox"/>		1		USA			
3.	Portable anti-tank guns	<input checked="" type="checkbox"/>		<b>2</b>		<b>Details Below</b>			
		<input checked="" type="checkbox"/>		1		Czech Republic			
		<input checked="" type="checkbox"/>		1		Switzerland			
4.	Recoilless rifles		<input checked="" type="checkbox"/>	<b>0</b>					
5.	Portable anti-tank missile launchers and rocket systems		<input checked="" type="checkbox"/>	<b>0</b>					

Category of arms <sup>4</sup> [I-VIII]		Authorised or actual imports <sup>5</sup>		Extent of imports <sup>6</sup> (choose one or both)		Exporting State <sup>9</sup>	State of origin (if not exporter) <sup>10</sup>	Remarks <sup>11</sup>	
		Auth.	Act.	Number of items <sup>7</sup>	Value <sup>8</sup>			Description of Item	Comments on the transfer
1		2	3	4	5	6	7	8	9
6.	Mortars of calibres less than 75 mm		<input checked="" type="checkbox"/>	0					
7.	Others		<input checked="" type="checkbox"/>	0					
<b>C. Voluntary National Categories<sup>18</sup></b> (please define in Annex 2)									
	Shotguns	<input checked="" type="checkbox"/>		7458		Details Below			
		<input checked="" type="checkbox"/>		12		Argentina			
		<input checked="" type="checkbox"/>		287		Australia			
		<input checked="" type="checkbox"/>		3		Austria			
		<input checked="" type="checkbox"/>		43		Brazil			
		<input checked="" type="checkbox"/>		6		Canada			
		<input checked="" type="checkbox"/>		2		China			
		<input checked="" type="checkbox"/>		2		Cyprus			
		<input checked="" type="checkbox"/>		2		Denmark			
		<input checked="" type="checkbox"/>		1		Fiji			
		<input checked="" type="checkbox"/>		6		France			
		<input checked="" type="checkbox"/>		144		Germany			
		<input checked="" type="checkbox"/>		6		Greece			
		<input checked="" type="checkbox"/>		1		India			
		<input checked="" type="checkbox"/>		1610		Italy			

Category of arms <sup>4</sup> [I-VIII]	Authorised or actual imports <sup>5</sup>		Extent of imports <sup>6</sup> (choose one or both)		Exporting State <sup>9</sup>	State of origin (if not exporter) <sup>10</sup>	Remarks <sup>11</sup>	
	Auth.	Act.	Number of items <sup>7</sup>	Value <sup>8</sup>			Description of Item	Comments on the transfer
1	2	3	4	5	6	7	8	9
	<input checked="" type="checkbox"/>		267		Japan			
	<input checked="" type="checkbox"/>		2		Kazakhstan			
	<input checked="" type="checkbox"/>		1		Kenya			
	<input checked="" type="checkbox"/>		40		Portugal			
	<input checked="" type="checkbox"/>		81		Russia			
	<input checked="" type="checkbox"/>		5		Samoa			
	<input checked="" type="checkbox"/>		16		South Africa			
	<input checked="" type="checkbox"/>		1		Spain			
	<input checked="" type="checkbox"/>		4		Switzerland			
	<input checked="" type="checkbox"/>		3444		Turkey			
	<input checked="" type="checkbox"/>		88		United Kingdom			
	<input checked="" type="checkbox"/>		1383		USA			
	<input checked="" type="checkbox"/>		1		Other			

**EXPLANATORY NOTES**

- 1) States Parties that do not have any exports and/or imports to report should file a "nil report" clearly stating that no exports/imports have taken place in any of the categories during the reporting period. Templates for such nil reports are included in Annex 3.
- 2) Date for collected statistics (for instance 30 June or 31 December).
- 3) Based on UN Registry practice. An international arms transfer could mean, in addition to the physical movement of equipment to or from national territory, the transfer of title to- and control over the equipment. Other criteria are also possible. States Parties should here provide a description of the national criteria used to determine, for control purposes, exactly when an arms transfer takes place.
- 4) As outlined in Articles 2 (1) (a)-(h) and 5(3). **For more precise definitions of the categories, see Annex 1.**
- 5) Article 13(3) allows reporting of either authorised or actual exports / imports. The choice can be made at the national level for a report as a whole or category by category. Please indicate by ticking the appropriate box for each category reported whether the value represents authorisations (Auth.) or actual exports (Act.). **It is highly desirable that national choices in this respect, once made, should remain stable over time for reasons of consistency and continuity.** A State Party wishing to report both quantity and value may of course do so, but then needs to submit two tables, one for authorised exports / imports and the other for actual exports / imports.
- 6) The size of exports / imports may be indicated either as quantity or as value. The choice can be made at the national level for each category of arms, but, **once made, should remain stable over time for reasons of consistency and continuity.** A State Party wishing to report both quantity and value may of course do so.
- 7) Standard UN Registry reporting variable. Please indicate unit, if not 'pieces'
- 8) Optional alternative. Please indicate unit (for example national currency)
- 9) In line with UN Registry practice
- 10) In line with UN Registry practice. NB: This is a shaded column, voluntary in terms of the obligations of the ATT
- 11) In line with UN Registry practice. In the first "Remarks" column, States Parties may, if they so wish, describe the item transferred by entering the designation, type, model or any other information considered relevant. The second column may be used to explain or clarify the nature of the transfer - for instance if it is temporary (e.g. for exhibitions or repairs), or if it is industrial in nature (perhaps intended for integration into a larger system). NB: These are shaded columns, voluntary in terms of the obligations of the ATT

- 12) As outlined in Article 2 (1) (a)-(g), See Annex 1 for the UN Registry's more precise definitions of the categories I-VII, including subcategories.
- 13) See Article 5(3)
- 14) As outlined in Article 2 (1) (h), with sub-categories taken from the UN Registry template for voluntary reporting of Small Arms and Light Weapons. This choice has been made provisionally, pending later agreement between States Parties on the desirability of using this or another UN definition of SALW sub-categories (for instance from the UN Firearms Protocol or the International Tracing Instrument - ITI). NB: The SALW sub-categories in this report are shaded, representing voluntary information in terms of the obligations of the ATT
- 15) "national definitions shall not cover less than the descriptions used in relevant United Nations instruments at the time of entry into force of this Treaty" (Article 5(3))
- 16) In line with UN Registry practice, States Parties may choose between reporting small arms by sub-type or as an aggregate.
- 17) In line with UN Registry practice, States Parties may choose between reporting light weapons by sub-type or as an aggregate.
- 18) Article 5(3) encourages States Parties to apply the provisions of the Treaty to the broadest range of conventional weapons. Any such additional categories are voluntary and categories used may vary between States Parties. If provided at all, extra categories should be more precisely defined in Annex 2.

**ANNEX 1****UN Registry Definitions of Categories I-VII<sup>1</sup>****I. Battle tanks**

Tracked or wheeled self-propelled armoured fighting vehicles with high cross-country mobility and a high-level of self-protection, weighing at least 16.5 metric tons unladen weight, with a high muzzle velocity direct fire main gun of at least 75 millimetres calibre.

**II. Armoured combat vehicles**

Tracked, semi-tracked or wheeled self-propelled vehicles, with armoured protection and cross-country capability, either: (a) designed and equipped to transport a squad of four or more infantrymen, or (b) armed with an integral or organic weapon of at least 12.5 millimetres calibre or a missile launcher.

**III. Large-calibre artillery systems**

Guns, howitzers, artillery pieces, combining the characteristics of a gun or a howitzer, mortars or multiple-launch rocket systems, capable of engaging surface targets by delivering primarily indirect fire, with a calibre of 75 millimetres and above.

**IV. Combat aircraft**

- a) Manned fixed-wing or variable-geometry wing aircraft, designed, equipped or modified to engage targets by employing guided missiles, unguided rockets, bombs, guns, cannons or other weapons of destruction, including versions of these aircraft which perform specialized electronic warfare, suppression of air defence or reconnaissance missions;
- b) Unmanned fixed-wing or variable-geometry wing aircraft, designed, equipped or modified to engage targets by employing guided missiles, unguided rockets, bombs, guns, cannons or other weapons of destruction.

The term “combat aircraft” does not include primary trainer aircraft, unless designed, equipped or modified as described above.

**V. Attack helicopters**

- a) Manned rotary-wing aircraft, designed, equipped or modified to engage targets by employing guided or unguided anti-armour, air-to-surface, air-to-subsurface, or air-to-air weapons and equipped with an integrated fire control and aiming system for these weapons, including versions of these aircraft which perform specialized reconnaissance or electronic warfare missions;
- b) Unmanned rotary-wing aircraft, designed, equipped or modified to engage targets by employing guided or unguided anti-armour, air-to-surface, air-to-subsurface, or air-to-air weapons and equipped with an integrated fire control and aiming system for these weapons.

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<sup>1</sup> Excerpted from the 2014 UN Registry reporting template

## VI. Warships

Vessels or submarines armed and equipped for military use with a standard displacement of 500 metric tons or above, and those with a standard displacement of less than 500 metric tons, equipped for launching missiles with a range of at least 25 kilometres or torpedoes with similar range.

## VII. Missiles and missile launchers<sup>2</sup>

- a) Guided or unguided rockets, ballistic or cruise missiles capable of delivering a warhead or weapon of destruction to a range of at least 25 kilometres, and means designed or modified specifically for launching such missiles or rockets, if not covered by categories I through VI. For the purpose of the Register, this sub-category includes remotely piloted vehicles with the characteristics for missiles as defined above but does not include ground-to-air missiles.
- b) Man-Portable Air-Defence Systems (MANPADS)<sup>3</sup>.

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<sup>2</sup> Multiple-launch rocket systems are covered by the definition of category III.

<sup>3</sup> MANPADS should be reported if the MANPAD system is supplied as a complete unit, i.e. the missile and launcher/Grip Stock form an integral unit. In addition, individual launching mechanisms or grip-stocks should also be reported. Individual missiles, not supplied with a launching mechanism or grip stock need not be reported.

## ANNEX 2

Reporting country :	NEW ZEALAND	Calendar Year :	2016
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**Specific (diverging or more detailed) national definitions of categories I-VIII**

No	Description
I.	UN Registry definition
II.	UN Registry definition
III.	UN Registry definition
IV.	UN Registry definition
V.	UN Registry definition
VI.	UN Registry definition
VII.	UN Registry definition
VIII.	<p>UN Registry definition with additional details:</p> <ol style="list-style-type: none"> <li>1. All of the small arms and light weapons listed in the export section fall under category ML1, ML 2 or ML901 on New Zealand's Strategic Goods List, which is available online at: <a href="https://www.mfat.govt.nz/assets/securedfiles/Strategic-goods-forms/New-Zealand-Strategic-Goods-List-March-2013.pdf">https://www.mfat.govt.nz/assets/securedfiles/Strategic-goods-forms/New-Zealand-Strategic-Goods-List-March-2013.pdf</a> Please refer to pages 31 and 56 respectively. Export permits do not differentiate between subsets of these categories.</li> <li>2. Under New Zealand law the term <i>Military-Style Semi-Automatic (MSSA)</i> describes a self-loading rifle or shotgun with one or more of the following features: <ul style="list-style-type: none"> <li>• Folding or telescopic butt;</li> <li>• Magazine that holds, or is detachable and has the appearance of holding, more than 15 cartridges for .22 rimfire;</li> <li>• Magazine that holds more than 7 cartridges, or is detachable and has the appearance of holding more than 10 cartridges for other than .22 rimfire;</li> <li>• Bayonet lug;</li> <li>• Pistol grip as defined by Order in Council;</li> <li>• Flash suppressor.</li> </ul> <p>MSSA was introduced as a firearm descriptor in 1990. The term includes weapons that, outside New Zealand, could be classed as (or upgraded to) Assault Rifles. New Zealand is therefore reporting all MSSAs under the Assault Rifle category, although most would usually be described as rifles with the potential to be upgraded to Assault Rifles.</p> </li> <li>3. Medium and General Purpose Machine Guns: The New Zealand Defence Force considers a 7.62mm MMG or GPMG as fitting within the parameters of the Light Machine Gun section. Any machine gun over 0.5" caliber would be a Heavy Machine Gun and likely mounted.</li> <li>4. Small arms figures include exports made with a temporary export permit. These permits are issued for goods which are leaving New Zealand and are intended to be returned to the same owner in New Zealand. Common scenarios include: <ul style="list-style-type: none"> <li>• Taking weapons overseas for a competition or for recreational use;</li> <li>• Weapons sent overseas for repair;</li> <li>• A salesperson taking product samples for viewing by potential clients abroad or to a trade exhibition;</li> <li>• Samples sent to a potential customer abroad for trial.</li> </ul> <p>Previously certain categories of temporary exports which were exempt from the requirement to obtain a permit were also exempt from notifying the export. From March 2016 it has been a requirement that weapons exported without the need for a permit must</p> </li> </ol>

	<p>be notified. During the period March to December 2016 there were 49 Pistols/Revolvers, 114 Rifles and 48 Shotguns that were temporarily exported under this exemption.</p> <p>All firearms imported into New Zealand must have an import permit issued by New Zealand Police, including those that are returning after having been exported temporarily.</p> <p>5. The “Other” sub-category of Small Arms used in New Zealand’s report for 2015 has not been used this year as all items could be assigned to sub-categories listed in the template.</p>
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### Definitions of voluntary national categories - Section C of table

No	Description
	<p><b>Shotguns:</b> New Zealand has a strong hunting and target shooting tradition, making shotguns a significant percentage of New Zealand’s total small arms imports and exports. A report conveying a full picture of New Zealand firearms would therefore be incomplete without this type of firearm, which is also used by the New Zealand Defence Force. Reporting of these items serves to advance the object and purpose of the Arms Trade Treaty.</p>
	<p><b>Restricted Air-gun; Replica Machine Gun; Humane Killer</b> Although these items were reported last year, we have chosen not to continue to include these voluntary types, recognizing they do not fit with the focus of the Arms Trade Treaty.</p>